

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:

RECEIVED
SECRETARY OF THE SENATE
PUBLIC RECORDS

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Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☐ The **original** *Employee Pre-Travel Authorization* (Form RE-1), **AND**
☐ A **copy** of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Aspen Institute, Socrates Program

Private Sponsor(s) (list all):

Travel date(s): October 27 - 29, 2017

Name of accompanying family member (if any):

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

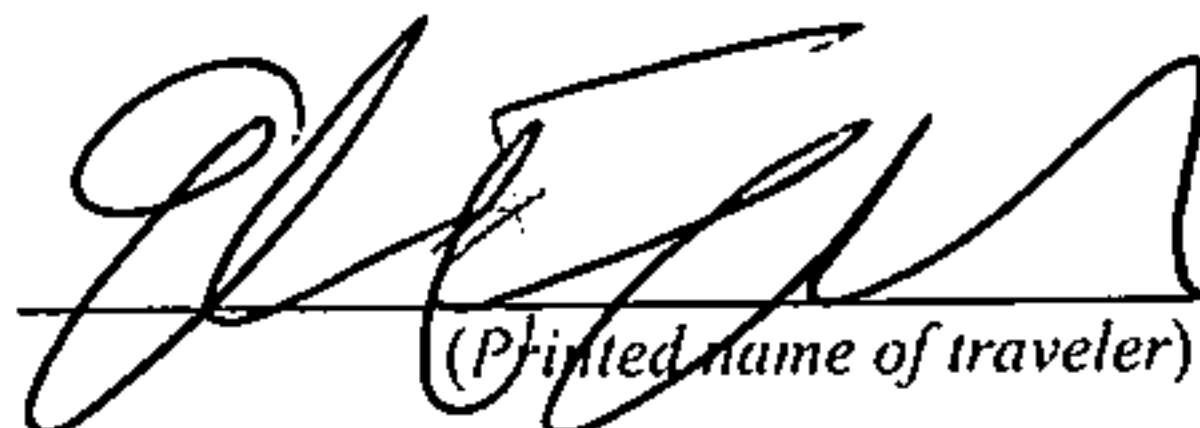
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate	00	\$234	\$172.50	\$183.44
<input type="checkbox"/> Actual Amount				

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate				
<input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): see attached agenda. I attended all events starting at 8pm on Friday October 27th.

11-1-17
(Date)

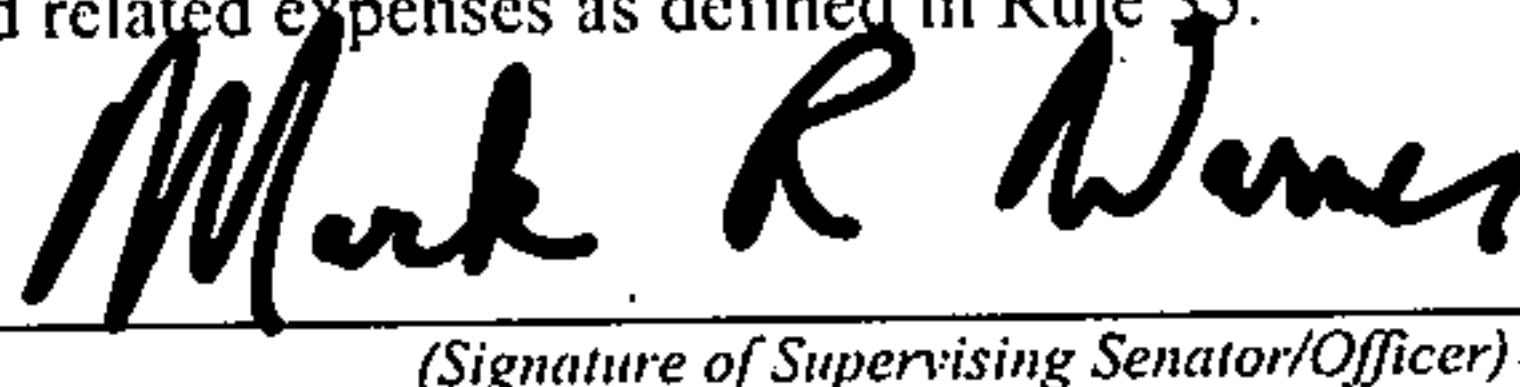

(Printed name of traveler)

Elizabeth F Falcone
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

11-1-17
(Date)


(Signature of Supervising Senator/Officer)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Elizabeth Falcone

Name of Traveler: _____

Sen. Mark Warner

Employing Office/Committee: _____

The Aspen Institute (Socrates Program)

Private Sponsor(s) (list all): _____

Travel date(s): October 27- 29*Note: If you plan to extend the trip for any reason you must notify the Committee.*Destination(s): Queenstown, MD

Explain how this trip is specifically connected to the traveler's official or representational duties:

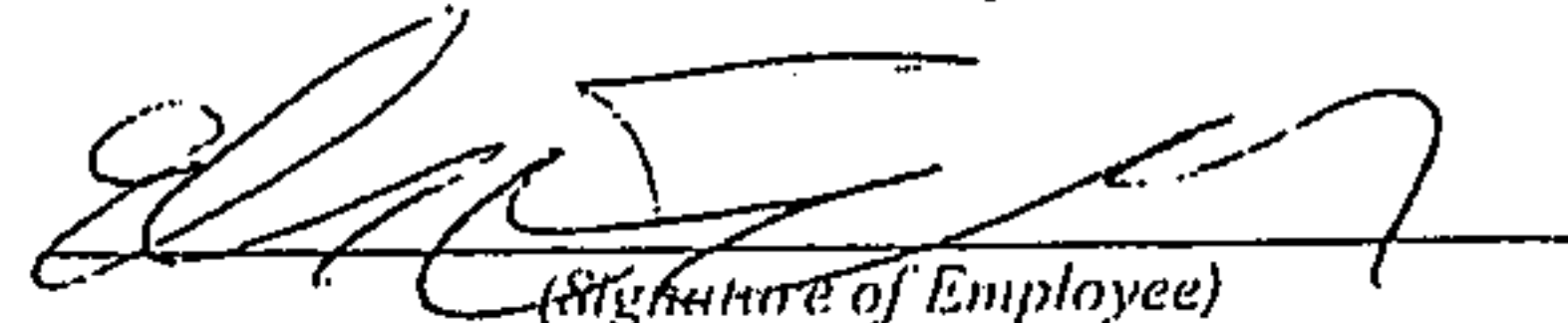
As Legislative Director, it is important to continue to expand my knowledge of Congress, build my leadership skills, and explore in a bipartisan environment contemporary issues. This trip will help facilitate that, especially as it relates to issues on political civility and role of Congress in the current era.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

1/20/17
(Date)


(Signature of Employee)


TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator Mark R. Warner, hereby authorize Elizabeth Falcone
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

1/20/17
(Date)


(Signature of Supervising Senator/Officer)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

-
1. Sponsor(s) of the trip (please list all sponsors): The Aspen Institute (Socrates Program)
-
2. Description of the trip: The Aspen Institute (Socrates Program) is sponsoring a Socrates seminar for senior congressional staff to discuss political civility and the role of Congress.
-
3. Dates of travel: October 27-29
-
4. Place of travel: Queenstown, MD
-
5. Name and title of Senate invitees: Please see attached roster
-
6. I *certify* that the trip fits one of the following categories:
- ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
- OR -
- ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- AND -
- ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
- ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
- AND -
- ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Aspen Institute's Socrates Program is the sole sponsor of this seminar and is solely responsible for the development and execution of this convening. (see continued response)

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Aspen Institute is an educational and policy studies non-partisan non-profit organization whose mission is to foster leadership based on enduring values and provide a non-partisan forum for the exchange of ideas. (see continued response)

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

The Aspen Institute has a nearly forty year history of conducting non-partisan educational forums, which often include Members of Congress and Congressional staff. The Socrates Program has been conducting seminars -- Senate Socrates -- for eight years.

2025 RELEASE UNDER E.O. 14176

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The Aspen Institute holds numerous educational activities, including educational briefings, roundtables, forums, and conferences for a diverse range of attendees including federal and state policymakers, business and organization leaders, members of the press and the general public. (see continued response)

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$63.45 for mileage	\$234.00 for two nights	\$172.50	\$183.44 conference room fees (includes meeting facilities, set up/take down, cleaning), reading copyrights, and moderator fee.

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

B. The trip is organized specifically with regard to Congressional staff participation in order to support their leadership and professional development

18. Reason for selecting the location of the event or trip

The location is close to Washington, DC for easy staffer and moderator travel.

19. Name and location of hotel or other lodging facility:

Wye River Conference Center, 600 Aspen Drive, Queenstown, MD 21658.

20. Reason(s) for selecting hotel or other lodging facility:

The conference center has sufficient meeting space and lodging to support off-the-record, non-partisan exchanges of ideas and professional learning. The Aspen Institute owns the Wye River Conference Center property.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Rooms are \$117/day, which reflects the per diem limit.

Meals are \$69.00/day, which reflects the per diem limit.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

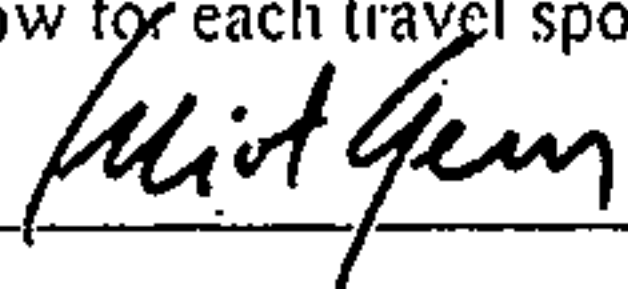
Transportation is not provided; mileage will be reimbursed for participants' travel by personal car

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor: 

Name and Title: Elliot Gerson, Executive Vice President, Policy & Public Programs

Name of Organization: The Aspen Institute

Address: 1 Dupont Circle NW, Suite 700, Washington, DC 20036

Telephone Number: 202-736-5859

Fax Number: 202-467-0790

E-mail Address: lisa.jones@aspeninstitute.org



#5. Name and titles of Senate invitees:

Christian Brose
Staff Director
Committee on Armed Services

Mike Casey
Minority Staff Director
Select Committee on Intelligence

Jeyben Castro
Outreach Director
Republican Task Force on Hispanic Affairs

Jennifer Decasper
Chief of Staff
Office of Senator Tim Scott

Elizabeth Falcone
Legislative Director
Office of Senator Mark Warner

Laura Erickson Hatafsky
Legislative Director
Office of Senator Catherine Cortez Masto

Thomas Mancinelli
Foreign Policy Advisor
Office of Senator Chris Coons

Olivia Perez-Cubas
Press Secretary
Office of Senator Marco Rubio

Monica Popp
Chief of Staff
Office of Senate Republican Whip John Cornyn

Nicole Porreca
Senior Foreign Policy Advisor
Office of Senator Tim Waine

Hallie Soifer
Legislative Assistant
Office of Senator Kamala Harris

Dana Stroul
Professional Staff Member
Committee on Foreign Relations

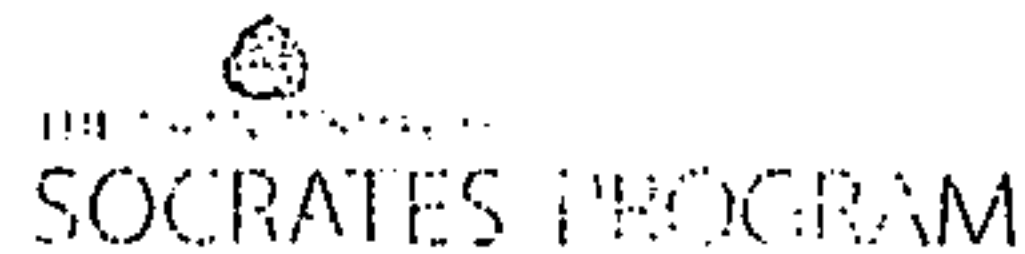
Bill Sweeney
Staff Director
Democratic Policy and Communications
Committee

Natalia Rigg
Legislative Assistant
Office of Senator Mike Enzi

Erica Suares
Policy Advisor
Office of Majority Leader, Senator Mitch
McConnell

Matthew Williams
Legislative Assistant
Office of Senator Bill Nelson

Brandon Yoder
Senior Professional Staff Member
Committee on Foreign Relations



2017 Senate Socrates
Political Civility and the Role of Congress
Moderators: Dan Glickman and Bill Hoagland

Seminar Weekend Schedule

October 27-29, 2017

Aspen Institute Wye River Campus
600 Aspen Drive
Queenstown, Maryland
Seminar Location: River House (RH)

Friday, October 27

7:00 p.m. - 8:00 p.m.

Senate Socrates Check In & Registration
River House

8:00 p.m. - 9:30 p.m.

Senate Socrates Opening Dinner
"Political Civility and Working in Washington"
A conversation with Dan Glickman, Aspen Institute
Congressional Program Executive Director, and Bill Hoagland,
Bipartisan Policy Center Senior Vice President
Moderated by Elliot Gerson, Aspen Institute Executive Vice
President
River House Dining Room

Saturday, October 28

7:45 a.m. - 9:00 a.m.

Breakfast
River House Dining Room

9:00 a.m. - 12:00 p.m.

Seminar Session I: Foundations in Discourse, Teamwork and
Civility
Seminar discussion based on the following texts:
"Classical Rhetoric, Contemporary Science and Modern Civil
Discourse," Research Brief No. 4, National Institute for Civil
Discourse
Dave Winsborough and Tomas Chamorro-Premuzic, "Great
Teams are About Personalities, Not Just Skills," *Harvard Business
Review*, January 25, 2017

Michael Gerson, "America is Hacking Away at Its Own Democratic Limb," *The Washington Post*, June 29, 2017
Jack Leslie, "What Three Nobel Laureates Can Teach Us About Civility in America," *The Huffington Post*, August 7, 2017

2:30 p.m. – 2:45 p.m.

Afternoon Break
River House Break Room

4:30 p.m. – 6:30 p.m.

Historical Walking Tour of Wye

The Wye campus is a site imbued with historical moments in American political discourse and policy decisions – from early days as the home of William Paca, a signer of the Declaration of Independence and the third governor of Maryland, to more recent moments as the site for negotiations between Israel and the Palestine Authority resulting in the Wye River Memorandum. This historical tour will connect the history and discussions of the seminar to place and embody the rich history of American discourse and governing in Washington and beyond.

Wye Campus

7:30 p.m. – 9:30 p.m.

Dinner and Evening Program

"The Future of Politics: Well-Informed, Bipartisan Commitment to Build the 'Good Society'"

A conversation with Bill Budinger, founder of Rodel, Inc., and Mickey Edwards, former U.S. Congressman
Moderated by Cordell Carter, Socrates Program Director

River House Dining Room

Sunday, October 29

7:45 a.m. – 9:00 a.m.

Breakfast
River House Dining Room

9:00 a.m. – 12:00 p.m.

Seminar Session III: Political Civility in the Age of Social Media

Seminar discussion based on the following texts:

- Amy Gutmann, "Key Note Address – Can We Talk? A Conversation about Civility and Democracy in America," University of Pennsylvania Office of the President, March 26, 2011
- Alan Greenblatt, "The Public Respects Civility, But Rewards Rudeness," *NPR*, January 26, 2012
- Jonathan Rauch, "Rescuing Compromise," *National Affairs*, 2013

October 27-29, 2017
Wye Conference Center
Queenstown, Maryland

Moderators:

He served as the U.S. Secretary of Agriculture from March 1995 until January 2001. Under his leadership, the Department administered farm and conservation programs; modernized food safety regulations; forged international trade agreements to expand U.S. markets; and improved its commitment to fairness and equality in civil rights. Before his appointment as Secretary of Agriculture, Glickman served for 18 years in the U.S. House of Representatives representing the 4th Congressional District of Kansas. During that time, he was a member of the House Agriculture Committee, including six years as chairman of the subcommittee with jurisdiction over federal farm policy issues. Moreover, he was an active member of the House Judiciary Committee; chairman of the House Permanent Select Committee on Intelligence; and was a leading congressional expert on general aviation policy.

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committee during this period. He participated in major federal budget negotiations, including the 1985 Gramm-Rudman-Hollings Budget Deficit Reduction Act, the 1990 Omnibus Budget Reconciliation Act, and the historic 1997 Balanced Budget Agreement.

In 1981, he served as the administrator of the Department of Agriculture's Food and Nutrition Service and as a special assistant to the Secretary of Agriculture. He was one of the first employees of the Congressional Budget Office in 1975, working with its first director, Alice Rivlin.

In both 1997 and 2005, *National Journal* listed him as one of the "Washington 100 Decision Makers" and referred to him as a "bottom-liner who is not a hard-liner." *Roll Call* consistently named Hoagland as one of the top 50 Hill staffers. In 2002, he received the James L. Blum Award for Distinguished Service in Budgeting. The National Association of State Budget Officers honored him in 2004 with its Leadership in Budgeting Award, and in 2006 he was inducted as a fellow in the National Academy of Public Administration.

Hoagland is an affiliate professor of public policy at the George Mason University and a board member of the Committee for a Responsible Federal Budget, the National Academy of Social Insurance, and the National Advisory Committee to the Workplace Flexibility 2010 Commission. In 2009, he was appointed to the Peterson-Pew Commission on Budget Reform examining the overall structure of the budget, authorization, and appropriations process, and was a member of BPC's Debt Reduction Task Force that published *Restoring America's Future* in November 2010. He coordinated BPC's 2013 report, *A Bipartisan Rx for Patient-Centered Care and System-Wide Cost Containment*. In April 2015, he co-chaired the National Academy of Social Insurance report, "Addressing Pricing Power in Health Care Markets."

Hoagland attended the U.S. Merchant Marine Academy and holds degrees from Purdue University and The Pennsylvania State University. His family's Indiana family farm was recognized as a "Hoosier Homestead" for having remained in the family for over a century.

11/15/2015 10:07:57 AM



August 22, 2017

Cordell Carter, II

Executive Director

Socrates Pro

Ms. Elizabeth Falcone
Legislative Director, Office of Senator Mark Warner
U.S. Senate
Washington, DC 20515

Dear Ms. Falcone:

I would like to invite you to participate in a seminar designed exclusively for the education benefit of a bipartisan, bicameral group of senior congressional staff focusing on Political Civility and the Role of Congress to be held at the Aspen Institute Campus located in Wye River, Maryland, October 27-29, 2017.

We have assembled two outstanding moderators to lead the discussions; The Honorable Dan Glickman, Executive Director of the Aspen Institute Congressional Program, and Bill Hoagland, Senior Vice President of the Bipartisan Policy Center.

This seminar is primarily designed for senior congressional staffers, by invitation only. Nonetheless, in the interest of creating the best possible mix of views and perspectives we do accept a limited number of vetted non-congressional scholars, but no outside observers, press, or lobbyist will participate. We will provide you with a signed Private Sponsor Travel Certification Form, and other required documentation, for filing with the House/Senate Ethics Committee. Our purpose is education, not advocacy.

Participants should arrive in Wye by 5:00 p.m. on Friday, October 27th and the program will end at 2:00 p.m. Sunday October 29th. Should you accept the invitation, it is required that you participate through the duration of the seminar. Your lodging and meals will be paid by the Aspen Institute Socrates Program. Under the ethics rules, no expenses are covered for entertainment or recreation.

Enclosed is a one pager on the Socrates Program. We hope you will be able to participate in the extended educational offering of this series. If you have any questions, please feel free to call Katie Bauman at 202-721-5589. I hope you will be able to join us for this exciting seminar.

Sincerely,

Cordell Carter, II

One Dupont Circle, NW

Suite 700

Washington, DC 20036-1133

PH 202 736,5589

aspensite.org

aspensite.org

United States Senate

SELECT COMMITTEE ON ETHICS

October 18, 2017

Elizabeth Falcone
Office of Senator Mark R. Warner
United States Senate
Washington, DC 20510

Dear Ms. Falcone:

This responds to your recent correspondence concerning an invitation you received to travel to the Socrates Program's *Political Civility and the Role of Congress* seminar in Queenstown, Maryland on October 27-29, 2017, sponsored by the Aspen Institute. The Aspen Institute certified to the Select Committee on Ethics (the Committee) that it will pay the *necessary expenses*¹ related to the travel and that it is neither a lobbyist, nor lobbying firm, nor an agent of a foreign principal, and it is not otherwise acting as a representative or agent of a foreign government. The Aspen Institute has also certified that it does not retain or employ a registered lobbyist or agent of a foreign principal and that no registered lobbyist will accompany you at *any point throughout your trip*.²

Based on information and materials available to the Committee, and assuming the **actual** travel and travel-related expenses conform to the information and materials you provided, it appears that the proposed payment or reimbursement of necessary expenses for this trip **may be accepted** under relevant Senate Rules and the Committee's *Regulations and Guidelines for Privately-Sponsored Travel*, so long as at the time of the payment or reimbursement, the Aspen Institute is neither a registered lobbyist nor lobbying firm under the Lobbying Disclosure Act of 1995, nor an agent of a foreign principal under the Foreign Agents Registration Act (and is not otherwise acting as a representative or agent of a foreign government), and provided the travel and all required documents are disclosed to the Secretary of the Senate in accordance with the provisions of Senate Rules 34 and 35.

Under Senate Rule 35, Senate staff must receive advance authorization signed by the Member or officer under whose direct supervision the individual works in order to accept payment or reimbursement for necessary expenses related to fact-finding travel. Further, such authorization and expenses must be disclosed to the Secretary of the Senate by filing the completed *Employee Pre-Travel Authorization* and the *Employee Post-Travel Disclosure of*

¹ The term "necessary expenses" has a specific definition. See *Select Committee on Ethics' Regulations and Guidelines for Privately-Sponsored Travel – Glossary of Terms* at 8.

² The term "any point throughout your trip" has a specific definition. See *id.* at 2.

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

-
1. Sponsor(s) of the trip (please list all sponsors): The Aspen Institute (Socrates Program)
-
2. Description of the trip: The Aspen Institute (Socrates Program) is sponsoring a Socrates seminar for senior congressional staff to discuss political civility and the role of Congress.
-
3. Dates of travel: October 27-29, 2017
4. Place of travel: Queenstown, MD
5. Name and title of Senate invitees: Please see attached roster
6. I *certify* that the trip fits one of the following categories:
- ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
- OR -
- ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- AND -
- ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
- ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
- AND -
- ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

- OR -

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

- OR -

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Aspen Institute's Socrates Program is the sole sponsor of this seminar and is solely responsible for the development and execution of this convening. (see continued response)

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Aspen Institute is an educational and policy studies non-partisan non-profit organization whose mission is to foster leadership based on enduring values and provide a non-partisan forum for the exchange of ideas. (see continued response)

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

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The Aspen Institute holds numerous educational activities, including educational briefings, roundtables, forums, and conferences for a diverse range of attendees including federal and state policymakers, business and organization leaders, members of the press and the general public. (see continued response)

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$63.45 for mileage	\$234.00 for two nights	\$172.50	\$183.44 conference room fees (includes meeting facilities, set up/take down, cleaning), reading copyrights; and moderator fee.

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

B. The trip is organized specifically with regard to Congressional staff participation in order to support their leadership and professional development

18. Reason for selecting the location of the event or trip

The location is close to Washington, DC for easy staffer and moderator travel:

19. Name and location of hotel or other lodging facility:

Wye River Conference Center, 600 Aspen Drive, Queenstown, MD 21658.

20. Reason(s) for selecting hotel or other lodging facility:

The conference center has sufficient meeting space and lodging to support off-the-record, non-partisan exchanges of ideas and professional learning. The Aspen Institute owns the Wye River Conference Center property.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Rooms are \$117/day, which reflects the per diem limit.

Meals are \$69.00/day, which reflects the per diem limit.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Transportation is not provided; mileage will be reimbursed for participants' travel by personal car

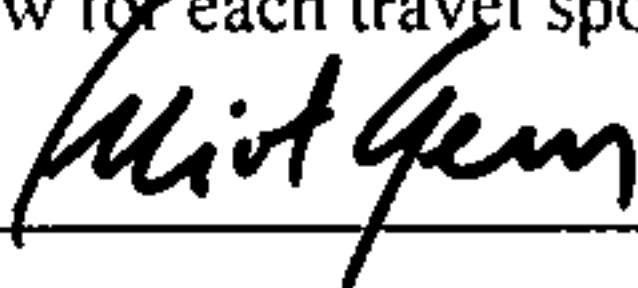
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor:



Name and Title: Elliot Gerson, Executive Vice President, Policy & Public Programs

Name of Organization: The Aspen Institute

Address: 1 Dupont Circle NW, Suite 700, Washington, DC 20036

Telephone Number: 202-736-5859

Fax Number: 202-467-0790

E-mail Address: lisa.jones@aspeninstitute.org

**Appendix to Private Sponsor Travel Certification Form, Aspen
Institute Socrates Program for Senate Socrates, October 27-29, 2017**

Question 12. Briefly describe the role of each sponsor in organizing and conducting the trip (response continued) – The Aspen Institute received general funding from the Rodel Foundations to support the Socrates Program. The funding was not earmarked for this trip. The Rodel Foundations did not play a role in organizing or planning the conference, including, but not limited to the content, agenda, moderators, attendees or other logistics.

Questions 13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to the mission (response continued) – The Socrates Program seeks to provide a forum for emerging leaders from various professions to convene and explore contemporary issues through expert-moderated dialogue. The purpose of the trip is to conduct a non-partisan educational seminar on political civility and the role of Congress that encourages off-the-record, candid exchanges of ideas to support staffers' learning and development.

Question 15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips) (response continued) – The Socrates Program convenes 18 expertly moderate seminars every year bringing together a diverse group of professionals to explore issues, discuss current topics and encourage dialogue and exchanges of ideas.

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#5. Name and titles of Senate invitees:

Christian Brose
Staff Director
Committee on Armed Services

Mike Casey
Minority Staff Director
Select Committee on Intelligence

Jeyben Castro
Outreach Director
Republican Task Force on Hispanic Affairs

Jennifer Decasper
Chief of Staff
Office of Senator Tim Scott

Elizabeth Falcone
Legislative Director
Office of Senator Mark Warner

Laura Erickson Hatalsky
Legislative Director
Office of Senator Catherine Cortez Masto

Thomas Mancinelli
Foreign Policy Advisor
Office of Senator Chris Coons

Olivia Perez-Cubas
Press Secretary
Office of Senator Marco Rubio

Monica Popp
Chief of Staff
Office of Senate Republican Whip John Cornyn

Nicole Porreca
Senior Foreign Policy Advisor
Office of Senator Tim Kaine

Hallie Soifer
Legislative Assistant
Office of Senator Kamala Harris

Dana Stroul
Professional Staff Member
Committee on Foreign Relations

Bill Sweeney
Staff Director
Democratic Policy and Communications
Committee

Natalia Riffin
Legislative Assistant
Office of Senator Mike Enzi

Erica Soares
Policy Advisor
Office of Majority Leader, Senator Mitch
McConnell

Matthew Williams
Legislative Assistant
Office of Senator Bill Nelson

Brandon Yoder
Senior Professional Staff Member
Committee on Foreign Relations

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2017 Senate Socrates

Political Civility and the Role of Congress

Moderators: Dan Glickman and Bill Hoagland

Seminar Weekend Schedule

October 27-29, 2017

Aspen Institute Wye River Campus

600 Aspen Drive

Queenstown, Maryland

Seminar Location: River House (RH)

Friday, October 27

7:00 p.m. - 8:00 p.m.

Senate Socrates Check In & Registration
River House

8:00 p.m. - 9:30 p.m.

Senate Socrates Opening Dinner
"Political Civility and Working in Washington"
A conversation with **Dan Glickman**, Aspen Institute
Congressional Program Executive Director, and **Bill Hoagland**,
Bipartisan Policy Center Senior Vice President
Moderated by **Elliot Gerson**, Aspen Institute Executive Vice
President
River House Dining Room

Saturday, October 28

7:45 a.m. - 9:00 a.m.

Breakfast
River House Dining Room

9:00 a.m. - 12:00 p.m.

**Seminar Session I: Foundations in Discourse, Teamwork and
Civility**

Seminar discussion based on the following texts:

"Classical Rhetoric, Contemporary Science and Modern Civil
Discourse," Research Brief No. 4, National Institute for Civil
Discourse

Dave Winsborough and Tomas Chamorro-Premuzic, "Great
Teams are About Personalities, Not Just Skills," *Harvard Business
Review*, January 25, 2017

- Alex Pentland, "The New Science of Building Great Teams," *Harvard Business Review*, April 2012
- Hua Hsu, "The Civility Wars," *The New Yorker*, 2014
- P.M. Forni, "What is Civility?" *Choosing Civility*, 2003, pp. 7-12
- Tod Lindberg, "The Politics of Incivility," *Commentary Magazine*, September 1, 2012

10:30 a.m. – 10:45 a.m.

Morning Break
River House Break Room

12:00 p.m. – 1:00 p.m.

Lunch
River House Dining Room

1:00 p.m. – 4:00 p.m.

Seminar Session II: A Brief History of American "Civil" Discourse

Seminar discussion based on the following texts:

- Derek A. Webb, "The Original Meaning of Civility: Democratic Deliberation at the Philadelphia Constitutional Convention," Introduction & Conclusion, *South Carolina Law Review*, Vol. 64, 2012, pp. 184-191 & 218-219
- James Madison, "Federalist No. 10: The Union as a Safeguard Against Domestic Faction and Insurrection," *New York Packet*, 1787
- Benjamin Franklin, "Final Speech in the Constitutional Convention from the Notes of James Madison," 1787
- Ron Chernow, "The Feuding Fathers," *The Wall Street Journal*, June 26, 2010
- David M. Abshire, *The Grace and Power of Civility: Commitment and Tolerance in the American Experience*, Center for the Study of the Presidency, November 2004, pp. 1-5
- "Representative Roger Griswold of Connecticut Attacked Matthew Lyon of Vermont on the House Floor," *Historical Highlights*, U.S. House of Representatives History, Art & Archives
- "The Caning of Senator Charles Sumner," *Senate Stories*, U.S. Senate Art & History
- Martin Luther King, "Letter from Birmingham Jail," 1963
- Richard Hofstadter, "The Paranoid Style in American Politics," *Harper's Magazine*, November 1964
- Sarah Heil, "Mark Twain on Congress: Idiots, Criminals, Dumber than Fleas," *The Washington Post*, April 18, 2012
- Joanna Piacenza, "Report: Is Civility Possible?," Public Religion Research Institute, October 22, 2014

- Michael Gerson, "America is Hacking Away at Its Own Democratic Limb," *The Washington Post*, June 29, 2017
- Jack Leslie, "What Three Nobel Laureates Can Teach Us About Civility in America," *The Huffington Post*, August 7, 2017

2:30 p.m. – 2:45 p.m.

Afternoon Break
River House Break Room

4:30 p.m. – 6:30 p.m.

Historical Walking Tour of Wye
Wye Campus

7:30 p.m. – 9:30 p.m.

Dinner and Evening Program
"The Future of Politics: Well-Informed, Bipartisan Commitment to Build the 'Good Society'"
 A conversation with **Bill Budinger**, founder of Rodel, Inc., and **Mickey Edwards**, former U.S. Congressman
 Moderated by **Cordell Carter**, Socrates Program Director
River House Dining Room

Sunday, October 29

7:45 a.m. – 9:00 a.m.

Breakfast
River House Dining Room

9:00 a.m. – 12:00 p.m.

Seminar Session III: Political Civility in the Age of Social Media
Seminar discussion based on the following texts:

- Amy Gutmann, "Key Note Address – Can We Talk? A Conversation about Civility and Democracy in America," University of Pennsylvania Office of the President, March 26, 2011
- Alan Greenblatt, "The Public Respects Civility, But Rewards Rudeness," *NPR*, January 26, 2012
- Jonathan Rauch, "Rescuing Compromise," *National Affairs*, 2013
- Laura Santhanam, "New Poll: 70% of Americans Think Civility has Gotten Worse Since Trump Took Office," *PBS NewsHour*, July 3, 2017
- Maeve Duggan, "How Platforms Are Poisoning Conversations," *The Atlantic*, May 11, 2017
- Lee Drutman, "We Need Political Parties. But Their Rabid Partisanship Could Destroy American Democracy.," *Vox*, September 5, 2017
- Jessica Taylor, "Bipartisan 'Bromance' Blossoms as 2 Texas Congressmen Make D.C. Road Trip," *NPR*, March 15, 2017

- Jason Grumet, "Commit to a Summer of Civility," *Medium*, Bipartisan Policy Center, July 3, 2017
"Commitment to Civility," U.S. House of Representatives, January 2017
- Rep. Charlie Crist and Rep. Mike Johnson, "Promoting Civility and Practicing the Golden Rule Every Day," *The Hill*, July 12, 2017

10:30 a.m. – 10:45 a.m.

Morning Break
River House Break Room

12:00 p.m.

Check-out, Program concludes after Seminar Session III
**If possible, please check-out prior to Seminar Session III, or during break*

12:00 p.m. – 1:00 p.m.

Lunch (boxed lunches available)
River House Dining Room

1:00 p.m.

Departure